

## Review

# Epidemiology and Clinical Phenotypes and Presentations of Neonatal Diabetes

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### Abstract

Neonatal diabetes mellitus is a rare condition that develops within the first months of life, usually as a result of a genetic abnormality. The condition has been defined as the presence of a severe form of hyperglycemia that requires adequate management and usually affected neonates and young infants. The disease has also been divided into two or three categories, upon which the clinical presentations of the affected patients usually depend. In the present study, we have reviewed the current literature to discuss the epidemiology, clinical phenotypes and presentations of patients suffering from neonatal diabetes. The condition is a rare one and some of the proposed etiologies may include a stress-related increase in the levels of counter-regulatory hormones, sepsis, and the increased or improper parenteral administration of  $\beta$ -adrenergic agents, steroids, or glucose. Three main categories have been identified for neonatal diabetes, including transient, permanent, and syndromic diabetes, which vary based on the underlying genetic mutations. Various developmental and neurological disorders have been linked with the disease. Therefore, planning adequate interventions as early as possible is critical to enhancing the outcomes as some affected children are prone to depend on insulin therapy throughout their entire life.

**Keywords:** - Neonatal diabetes, diabetes mellitus, epidemiology, clinical presentation, genetics.

## Introduction

Neonatal diabetes mellitus is a rare condition that develops within the first months of life, usually as a result of a genetic abnormality (1-3). The incidence of the disease has been estimated to be very low, and epidemiological studies indicate that such rates are hugely variable among different ethnic groups. The condition has been defined as the presence of a severe form of hyperglycemia that requires adequate management and usually affects neonates and young infants. In a previous investigation conducted in Finland, the authors followed infants with neonatal diabetes for six months and found that these children had significantly higher circulating number of autoantibodies against the islets cells of the pancreas, in addition to an increase in risk of HLA genotypic overexpression. Therefore, the authors concluded that the disease may be associated with an autoimmune characteristic (4). Evidence also indicates that in addition to the characteristic hyperglycemia, the condition is usually associated with reduced amounts of insulin synthesis/release (2). The disease has also been divided into two or three categories, upon which the clinical presentations of the affected patients usually depend (5, 6). Accordingly, in the present study, we aim to review the current literature to discuss the epidemiology, clinical phenotypes and presentations of patients suffering from neonatal diabetes.

## Methods

This literature review is based on an extensive literature search in the Medline, Cochrane, and EMBASE databases which was performed on 27 August, 2021, using the medical subject headings (MeSH) or a combination of all possible related terms (7, 8). Papers discussing patients suffering from neonatal diabetes were screened for relevant information, with no limitation placed on date, language, age of participants, or publication type.

## Epidemiology

Many investigations have estimated the prevalence of neonatal diabetes mellitus. These studies indicate that the disease is very rare and occurs within the first six months of life. The estimated incidence rate ranges between 1 every 90,000-160,000 live births (9, 10).

Neonatal diabetes is usually attributable to a monogenic cause that eventually leads to the development of other types of diabetes. Many reasons have been attributed to the development of hyperglycemia in this population. Some of these etiologies may include a stress-related increase in the levels of counter-regulatory hormones, sepsis, and the increased or improper parenteral administration of  $\beta$ -adrenergic agents, steroids, or glucose. It has been demonstrated that hyperglycemia, however, is not a rare presentation in this population (11).

Accordingly, to diagnose neonatal diabetes in infancy is considered a difficult approach. Epidemiological studies also indicate that this hyperglycemia can persist for up to 10 days. However, in most cases, it has been observed that the hyperglycemia is transient and rapidly resolves within 2-3 days after it has developed within the 3-5 first days of the neonatal life. Moreover, it was further demonstrated that the difficulty in diagnosis is even more so in low-birth-weight infants and the preterm population (12-14). A prevalence rate of 25-75% has been estimated for the low-birth-weight infants that suffer from hyperglycemia (11, 12, 15). It has been further indicated that a degree of relative insulin resistance and reduced secretion of insulin from the pancreatic cells has been observed in some critically ill low-birth-weight infants (11). On the other hand, Besser et al. conducted a recent investigation in 2016 and included 750 infants who were diagnosed with diabetes with onset before they were six months old. Among this population, the authors reported that 604 patients were born at  $\geq 37$  gestational weeks, while the rest ( $n = 146$ ) were born at  $< 37$  gestational weeks.

The same study also reported that the prevalence of a genetic etiology was 66% (97/146) in the preterm population ( $< 37$  gestational weeks) compared to 83% (501/604) in the other group ( $\geq 37$  gestational weeks). Additionally, it has been demonstrated that the prevalence of the genetic etiology was higher in the latter group (83%) when compared to neonates that were born at 32- $< 37$  weeks of gestation (81%), and significantly more than others that were born at  $< 37$  gestational weeks (31%). Finally, the authors also estimated that no significant difference was noticed between preterm and full-term infants at presentation in terms of age, which was 1 versus 0.7 weeks for both groups, respectively (12).

Based on these findings, it can be concluded that to adequately diagnose neonatal diabetes, the diagnosis should be established in the presence of insulin-dependent hyperglycemia.

### *Clinical Presentations*

Regarding the duration of insulin dependency in the affected children, evidence shows that there are two main clinical presentations of neonatal diabetes: a transient form, and a permanent one. In the permanent form, it has been reported that the treatment of the disease is based on life-long modalities, unlike the transient form which usually requires the administration of a treatment plan for the first weeks of life, but may only extend to 5 years of age for the affected child (16). Additionally, it has been demonstrated that the clinical presentations of the two types of neonatal diabetes are not significantly dependent on the underlying molecular mechanisms and associated characteristics. For instance, it has been demonstrated that *KCNJ11*, *ABCC8*, and *INS* genetic mutations have been associated with both transient and permanent clinical forms (17-20).

However, it has been demonstrated that the permanent type is usually associated with other genetic mutations. Diagnosis of neonatal diabetes is usually established within the first six months of life. However, it has been reported that the genetic cause can significantly affect the age of diagnosis of the disease. For instance, it has been demonstrated that in 100% and 93% of the cases with 6q24 locus abnormalities, the diagnosis of neonatal diabetes is established before 3 and 1 months of age, respectively. Moreover, it was also estimated that in 66%, and 30% of cases with *KCNJ11* and *ABCC8* genetic mutations, the diagnosis of neonatal diabetes is usually established before one and six months of age, respectively (16). A low-birth-weight that is usually below the 10th percentile is also another clinical characteristic that can be observed in 62% of cases with neonatal diabetes at birth, which indicates the critical value of insulin in the proper neonatal growth and development. It has been further demonstrated that all of the affected patients usually suffer from intrauterine growth retardation, and it has been estimated that the impact is significantly greater in the population with 6q24 abnormalities than the *KCNJ11* and *ABCC8* genetic mutations groups (16).

Remission from diabetes has also been reported to affect approximately 50% of cases with a detectable pancreas using ultrasound modalities, and such events have been determined to occur within the first four months of age (16, 21-23).

A recent long follow-up investigation also indicated that patients with transient type neonatal diabetes have been observed to suffer from a significant reduction in the pancreatic insulin mass, which was indicated by the reduced secretion of insulin in response to arginine and glucose stimulatory secretions. Moreover, it has been demonstrated that these children usually suffer from school learning difficulties, irrespective of the duration of diabetes and the underlying genetic abnormality. Therefore, it has been demonstrated that close monitoring should be undertaken for the blood glucose levels, HbA1C, and neurodevelopmental functions of these children in order to enhance the associated outcomes (24). In general, developmental defects and neurological disorders have been associated with patients suffering from neonatal diabetes with a sound pancreatic structure (24). It has been reported that in approximately 25% of cases with *KCNJ11* and *ABCC8* genetic abnormalities, many neurodevelopmental functions are usually impaired, including reduced cognition development, and psychomotor functional abnormalities (23).

A previous investigation also indicated that all of the cases with neonatal diabetes usually suffered from attention-deficit disorders and/or language-related conditions that may even develop into dyslexia, when these patients are assessed for their neurodevelopmental functions (16). Other manifestations can also include umbilical hernia, macroglossia, urinary and renal malformations, cardiac malformations, hypothyroidism, and non-autoimmune anemia, in addition to other neurological abnormalities which have been reportedly associated with patients suffering from 6q24 locus abnormalities (16, 25). However, it has also been reported that in cases of  $\beta$  cell dysfunction and/or abnormal pancreatic morphology, the clinical presentations that include the developmental and neurological abnormalities include a variety of syndromes that significantly depend on the underlying genetic abnormality. Syndromic neonatal diabetes will be discussed in the following section.

## Clinical Phenotypes

Three categories have been identified in the literature as the main clinical phenotypes of neonatal diabetes. Furthermore, these categories include permanent diabetes, which is characterized by absence of remission and being isolated in its presentation, the transient one, which is characterized by remission and relapse, and the syndromic category, which is usually identified as a part of a present syndrome affecting the patient. It has been estimated that the prevalence of transient neonatal diabetes has been previously reported to be 20% among patients with neonatal diabetes (26). Permanent diabetes is usually attributable to the presence of *KCNJ11* and *ABCC8* genetic abnormalities, as it has been observed in approximately half of the affected patients (13, 27). These mutations were also reported to proceed the transient type, being the second most common etiologies. It has been estimated that the median age for the presentation of the affected infants is 9.6 weeks (28).

Additionally, most patients usually present before six months of age while only a few presents after this age. It has been demonstrated that patients with *KCNJ11* tend to have higher frequencies of neurocognitive disorders, sleep disturbances, reduced developmental, social, and learning abilities, as a result of being more liable to develop attention deficit hyperactivity disorder. The Developmental Delay, Epilepsy, and Neonatal Diabetes syndrome (DEND) may also be a characteristic in patients with significant *KCNJ11* mutations (23, 29-31). Mutations in the *INS* gene are also another common cause that may attribute to the development of permanent neonatal diabetes, with a median age of 10 weeks at presentation. Additionally, it has been estimated that less than one-third of the affected population usually suffer from diabetic ketoacidosis. Lifelong management with insulin is indicated for these patients, however, neonatal diabetes is the only observed abnormality in these patients (32, 33). In transient neonatal diabetes, the pathology usually resolves within weeks after diagnosis. However, it has been estimated that these children are more prone to develop diabetes later on in their lives (13, 26, 34). The most common cause for this category has been reported to be a 6q24 locus genetic abnormality (13, 35, 36).

Furthermore, previous studies indicate that patients with these abnormalities usually develop neonatal diabetes before other patients with *KCNJ11* and *ABCC8* genetic abnormalities.

Umbilical hernia and macroglossia may also be associated with these patients. Early treatment of these patients requires the administration of insulin. However, non-insulin modalities can be used later on as in the case with type 2 diabetes mellitus (37). A previous investigation estimated that 14 of these patients usually suffer from hypoglycemia following remission of the pathology. Abnormalities to the genes that control insulin release from the pancreas via the voltage-dependent potassium channels (including the *KCNJ11* and *ABCC8* genes) may also attribute to the development of hyperglycemia (transient neonatal diabetes) (13, 26). As previously mentioned, syndromic neonatal diabetes may be involved in a variety of syndromes. The main reason that attributes to the development of diabetes is the involvement of a pancreatic pathology in syndromes associated with neonatal diabetes, which will eventually affect insulin synthesis and/or release. Estimates show that Wolcott-Rallison syndrome is the most common syndrome, which is also associated with skeletal dysplasia, and hepatic dysfunction (38). Other syndromes may also include Fanconi Bickel syndrome, IPEX syndrome, Wolfram syndrome, Rogers syndrome, and Rabson-Mendenhall syndrome (Figure 1) (13, 39).

Transient neonatal diabetes mellitus	Permanent neonatal diabetes mellitus
<ul style="list-style-type: none"> <li>Chromosomes 6 anomalies detected</li> </ul>	<ul style="list-style-type: none"> <li>Heterozygous activating mutation in <i>KCNJ11</i> gene and in <i>ABCC8</i> gene (Kir6.2 and SUR1 subunits of the pancreatic <math>K_{ATP}</math> channel)</li> </ul>
- Paternal duplications	
- Paternal isodisomy	
- Methylation defect	
<ul style="list-style-type: none"> <li><i>ABCC8</i> (SUR1) and rarely <i>KCNJ11</i> (Kir6.2) mutations</li> </ul>	<ul style="list-style-type: none"> <li>IPEX Syndrome: diffuse autoimmunity</li> <li>Mitochondrial disease</li> <li>Severe pancreatic hypoplasia associated with IPF1 (PDX1) mutation</li> <li>Homozygous glucokinase mutation: insensitivity to glucose</li> <li>Associated with epiphyseal dysplasia: Wolcott Rallison Syndrome</li> <li>Possibly associated with enterovirus infection</li> <li>Association with cerebellar hypoplasia and PTF1A mutation</li> <li>Association with hypothyroidism, glaucoma and GLIS3 mutation</li> </ul>

**Figure 1.** Different etiologies of neonatal diabetes mellitus (6).

## Conclusion

Neonatal diabetes is a rare disorder with some of the proposed etiologies may include a stress-related increase in the levels of counter-regulatory hormones, sepsis, and the increased or improper parenteral administration of  $\beta$ -adrenergic agents, steroids, or glucose. Three main categories have been identified for neonatal diabetes, including transient, permanent, and syndromic diabetes, which vary based on the underlying genetic mutations. Various developmental and neurological disorders have been linked with the disease. Therefore, planning adequate interventions as early as possible is critical to enhancing the outcomes as some affected children are prone to depend on insulin therapy throughout their entire life.

## Disclosure

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The authors declare no conflict of interest.

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### *Ethical Consideration:*

Non applicable.

### *Data Availability:*

All data are presented within the paper.

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